



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1

of 1

Application Number	10/654189
Filing Date	Sept 3, 2003
First Named Inventor	Ashton et al
Art Unit	<del>2824</del> 2891
Examiner Name	Wilson, Christian D.
Attorney Docket Number	100201669-1

## U. S. PATENT DOCUMENTS

**FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Christina Wilson

Date  
Considered

3/23/08

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**PATENT APPLICATION**

Sheet 1 of 5

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>100201669-1</b>	APPLICATION NO. <b>10/654189</b>	CONFIRMATION NO.
APPLICANT <b>Ashton, et al</b>			
FILING DATE <b>Sept. 3, 2003</b>		GROUP <b>2129 2829</b>	

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
aw	1A	5,557,596	09/17/96	Gibson et al
aw	1B	6,256,224	07/03/01	Perner, et al.
aw	1C	6,262,421	07/17/01	Tran
	1D			
	1E			
	1F			
	1G			
	1H			
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	1J			
	1K			

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DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L				
1M				
1N				
1O				
1P				

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

aw	1Q	Kampmann, et al. A Cadmium-free CuInSe <sub>2</sub> Superstrate Solar Cell Fabricated by Electrodeposition Using a ITO/In <sub>2</sub> Se <sub>3</sub> /CuInSe <sub>2</sub> /Au Structure; Progress in Photovoltaics; (1999) pgs. 129-135.
	1R	Rechid, et al. Characterising Superstrate CIS solar cells with electron beam induced current; Thin Solid Films; (2000) pgs. 361-362.
aw	1S	Ward, et al. Cu(In,Ga)Se <sub>2</sub> Thin-Film Concentrator Solar Cells; NCPV Program Review Meeting; 10/01.

EXAMINER

*Christin Wilson*

DATE CONSIDERED

*3/23/05*

## PATENT APPLICATION

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FORM PTO-1449

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(Use several sheets if necessary)

ATTY. DOCKET NO.

100201669-1

APPLICATION NO.

10/654189

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	2L				
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	2O				
	2P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	Scofield, et al. Sputtered molybdenum bilayer back contact for copper indium diselenide-based polycrystalline thin-film solar cells; Thin Solid Films (1995) pgs. 26-31
2R	Gabor, et al. High-efficiency $\text{CuIn}_x\text{Ga}_{1-x}\text{Se}_2$ solar cells made from $(\text{In}_x\text{Ga}_{1-x})_2\text{Se}_3$ precursor films; American Institute of Physics, (1994) pgs. 198-200
2S	Nakayama, et al. AES, LEELS and XPS studies on the interface formation between layered semiconductors GaSe and InSe; Surface Science (1991)

EXAMINER

Christian Wilson

DATE CONSIDERED

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**PATENT APPLICATION**

Sheet 3 of 5

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	3P				

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

3Q	Nishida, et al. Single-beam overwrite experiment using In-Se based phase-change optical media; American Institute of Physics (1987) pgs. 667-669
3R	Sanchez-Royo, et al. Optical and photovoltaic properties of indium selenide thin films prepared by van der Waals epitaxy; Journal of Applied Physics (2001) pgs. 2818-2823
3S	Otsmane, et al. Epitaxy of layered semiconductor thin films; Applied Surface Science (1993) pgs. 479-481

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	4L				
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	4P				

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

aw	4Q	Emery, et al. Reflection high-energy electron diffraction studies of InSe and GaSe layered compounds grown by molecular beam epitaxy; Journal of Applied Physics (1992) pgs. 3256-3259
I	4R	Tatsuyama, et al. Heteroepitaxy between layered semiconductors GaSe and InSe; Applied Surface Science (1989) pgs. 539-543
aw	4S	Gashimzade, et al. Energy spectrum and effective mass of carriers in the InSe/GaSe superlattice; Z. Physics (1996) pgs. 219-222

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*Christina Critson*

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## PATENT APPLICATION

Sheet 5 of 5

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	5O					
	5P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

GW	5Q	Shigetomi, et al. Electrical and photovoltaic properties of Cu-doped p-GaSe/n-InSe heterojunction; Journal of Applied Physics; (2000); pgs. 1520-1524
	5R	
	5S	

EXAMINER

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DATE CONSIDERED

3/23/05